



Attorney Docket No. 305832-00115

IAP6 Rec'd PCT/PTO 17 FEB 2006

PCT

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re the application of:

John Haig MARSH et al.

Application No.: 10/536,936

International Filing Date: 20 November 2002

Art Unit: 3663

Confirmation No.: 8326

Examiner: Not Yet Assigned

Title: SEMICONDUCTOR OPTICAL DEVICE WITH BEAM FOCUSING

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO NOTICE OF MISSING REQUIREMENTS, 35 USC §371**

Dear Sir:

This communication is responsive to the Notification of Missing Requirements mailed 19 December 2005.

Applicant encloses herewith:

1. A signed inventor's declaration, in compliance with 37 CFR §1.497(a) and (b).
2. A Power of Attorney and Certificate under 37 CFR §3.73(b), executed by an

authorized representative of the Assignee of this Application. A copy of the assignment and recordation cover sheet are included. Concurrently with this Response, Applicant is mailing the assignment, the recordation cover sheet and the recordation fee to the Assignment Branch for recordation.

3. A copy of the Notification of Missing Requirements.
4. A fee transmittal under cover of which the surcharge for this response is submitted.
5. A return postcard.

Respectfully submitted,

  
\_\_\_\_\_  
Jefferson Perkins  
Registration No. 31,407

DASPIN & AUMENT, LLP  
210 West 22<sup>nd</sup> Street, Suite 102  
Oak Brook, Illinois 60523  
Telephone: (630) 990-4503

**CUSTOMER NUMBER: 43138**

## IN THE UNITED STATES PATENT &amp; TRADEMARK OFFICE

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John Haig MARSH et al.

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"First Class Mail" Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

February 14, 2006  
 \_\_\_\_\_  
Jefferson Perkins  
 \_\_\_\_\_  
 Jefferson Perkins

FEE TRANSMITTAL

Dear Sir:

Applicant calculates the fees associated with the enclosed papers as follows:

Section	Fee Code	Fee Description	Fee Paid
1.492(h)	1617	Inventor's Declaration after 30 months from priority date, large entity	\$130.00
		<b>Total</b>	<b>\$ 130.00</b>

02/23/2006 GFREY1 00000092 10536936

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130.00 0P

Applicant encloses a check to the Director of the United States Patent and Trademark Office for the above total amount. The Commissioner is hereby directed to charge any deficiency, or credit any overpayment, to Deposit Account No. 503138 of Daspin & Aument, LLP.

Respectfully submitted,

  
\_\_\_\_\_  
Jefferson Perkins  
Registration No. 31,407

**CUSTOMER NO. 43138**

DASPIN & AUMENT, LLP  
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UNITED STATES PATENT AND TRADEMARK OFFICE

docket

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/536,936	John Haig Marsh	305832-00115
		INTERNATIONAL APPLICATION NO.
		PCT/GB03/04906
		I.A. FILING DATE
		11/20/2002
		PRIORITY DATE
43138		
DASPIN & AUMENT, LLP		
210 WEST 22ND STREET, SUITE 102		
OAK BROOK, IL 60523		
CONFIRMATION NO. 8326		
371 FORMALITIES LETTER		
*OC000000017676684*		

Date Mailed: 12/19/2005

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 05/20/2005
- Copy of the International Search Report filed on 05/20/2005
- Preliminary Amendments filed on 05/20/2005
- Information Disclosure Statements filed on 05/20/2005
- U.S. Basic National Fees filed on 05/20/2005
- Priority Documents filed on 05/20/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

CHARITTA A BURT

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Telephone: (703) 308-9140 EXT 207

**PART 1 - ATTORNEY/APPLICANT COPY**

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U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/536,936	PCT/GB03/04906	305832-00115

FORM PCT/DO/EO/905 (371 Formalities Notice)